



FLORIDA RELIABILITY COORDINATING COUNCIL, INC.
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Application for Transmission Project Developer Qualification

In order to (1) propose to sponsor and develop a Cost Effective or Efficient Regional Transmission Solutions (“CEERTS”) transmission project for potential selection in the regional transmission plan for purposes of cost allocation, or (2) be considered for selection as the developer of a sponsored CEERTS project that does not have a developer, both incumbent transmission owners and non-incumbent transmission developers must demonstrate that they meet the qualification criteria. An entity seeking to be qualified as a CEERTS project developer must submit information to demonstrate that they satisfy the qualification criteria. The FRCC Board will direct a review of the qualifications and make a determination as to whether a prospective transmission developer satisfies the criteria. A project sponsor that does not seek to be the developer of a proposed CEERTS project does not need to be qualified.

Application Instructions

Prospective transmission developers seeking to be qualified as a CEERTS project developer must complete the Applicant Information section below to notify the FRCC of the intent to be qualified as a CEERTS Project Developer. In addition to the basic company information being requested in the Applicant Information section, information identified in the Qualification Criteria section must also be provided, as part of this application. **All information identified in the Qualification Criteria section should be provided in both hard copy and electronic format, no later than January 1, 2015.** For electronic submittal, a secure FRCC website will be provided for upload of the attachments. Instructions on how to submit these attachments will be provided to the requesting entity once the Applicant Information section is received by the FRCC. **The project developer must identify any portion of its submittal for which it seeks confidentiality protections.**

Deposits

Project developers (both incumbent transmission owners and non-incumbent project developers) that are submitting for the first time a qualification application must submit the application and a deposit of **\$ 50,000.00** to the FRCC along with the information identified in the Qualification Criteria as set forth above. Previously qualified project developers seeking to maintain their qualification by biennial (or more often if the information provided has materially changed) update of the information submitted, may be required to submit a deposit of **\$10,000.00** to the FRCC for the attestation review. (See Qualification Review section.)

Qualification Review

Project developers that already have been found qualified after a review by the FRCC will submit a biennial (or more often if the information provided has materially changed) update of the information submitted, accompanied by an attestation from an officer of the project developer confirming that the previously submitted information remains correct and has not materially changed since the last attestation, with such attestation (in the form of **Attachment T** included in this Application) to be submitted biennially while that transmission developer has a transmission project under consideration in the FRCC Regional Planning Process, under construction in the FRCC region or in-service within the FRCC region. If sufficient changes, as determined by the FRCC, have been identified in the attestation by a project developer which had previously been qualified, then a deposit of \$10,000 to the FRCC will be required during the attestation review process.

Applicant Information

Date: _____

First time Qualification? _____

Qualification Review Attestation? (**Attachment T**) _____

Developer (Corporate name): _____

Developer Mailing Address: _____

City/State/Zip code: _____

Name of Authorized Company Representative (Print): _____

Email Address of Authorized Company Representative: _____

Amount of Deposit Included: _____

Submit completed application, required qualification criteria and a deposit check (\$50,000/\$10,000) to the FRCC at:

Florida Reliability Coordinating Council
3000 Bayport Plaza, Suite 600
Tampa, FL 33607
Phone: (813) 289-5644
FAX: (813) 289-5646
Email: RegionalProjects@frcc.com

Qualification Criteria

The following information should be provided in both hard copy and electronic format. Electronic files must be uploaded to the secure FRCC Website per instructions to be provided by the FRCC (Each item must be submitted with the indicated attachment label):

- 1) Current and expected capability to finance, or arrange financing for the transmission facilities:
 - a. **(Include as Attachment A)** Evidence of demonstrated experience financing or arranging financing for transmission facilities, including a description of such projects (not to exceed ten) over the previous ten years, the capital costs and financing structure of such projects, a description of any financing obtained for these projects through rates approved by the Commission or state regulatory agency, the financing closing date of such project, and whether any of the projects are in default;
 - b. **(Include as Attachment B)** Audited financial statements and annual reports filed with the Securities and Exchange Commission or state governance agency for the most recent three years and its most recent quarterly financial statement, or equivalent information;
 - c. **(Include as Attachment C)** Current credit ratings from Moody's Investor Services and Standard & Poors, if available;
 - d. **(Include as Attachment D)** A summary of any history of bankruptcy, dissolution, merger, or acquisition of the project developer or any predecessors in interest for the current calendar year and the five calendar years immediately preceding its submission of information related to affiliated entities; and
 - e. **(Include as Attachment E)** A summary of outstanding liens against the developer(s) (Attachment E); and.
 - f. **(Include as Attachment F)** Such other evidence that demonstrates its current and expected capability to finance a CEERTS project.
 - g. **(Include as Attachment G)** Must identify the portions of this financial data that would need to be treated as confidential information in accordance with the FRCC confidentiality practices and subject to disclosure only to those that have signed a confidentiality agreement.
- 2) **(Include as Attachment H)** Total dollar amount of CEERTs estimated project(s) cost up to which the project developer wants to be deemed qualified.
- 3) **(Include as Attachment I)** A discussion of the project developer's business practices that demonstrate that its business practices are consistent with Good Utility Practices for proper licensing, designing, right-of-way acquisition, constructing, operating and maintaining transmission facilities that will become part of the regional transmission grid. The project developer shall also provide the following information for the current calendar year and the previous five calendar years:

- a) **(Include as Attachment J)** A summary of any violations of law by the project developer found by federal or state courts, federal regulatory agencies, state public utility commissions, other regulatory agencies, or attorneys general; and
 - b) **(Include as Attachment K)** A summary of any instances in which the project developer is currently under investigation or is a defendant in a proceeding involving an attorney general or any state or federal regulatory agency, for violation of any laws, including regulatory requirements.
- 4) **(Include as Attachment L)** Technical and engineering qualifications and experience;
 - 5) **(Include as Attachment M)** Past history of meeting transmission project schedules;
 - 6) **(Include as Attachment N)** Past history regarding providing construction and maintenance of transmission facilities and/or contracting for the construction and maintenance of transmission facilities;
 - 7) **(Include as Attachment O)** Capability to adhere to standardized construction, maintenance and operating practices;
 - 8) **(Include as Attachment P)** Plans for compliance with all applicable reliability standards;
 - 9) **(Include as Attachment Q)** Planning standards that will be used to develop the project:
and
 - 10) **(Include as Attachment R)** Plans to obtain the appropriate NERC certifications.
 - 11) **(Include as Attachment S – See below)** Attestation from an officer of the project developer stating that the information that is being submitted is true and that the project developer will comply with the provisions identified in the qualification data submittal.

For joint ventures, partnerships, or other multiple-party developer arrangements, the qualification criteria above will be applied to the designated lead entity, which will be responsible for meeting the qualification criteria. Sharing of such responsibilities with other entities may be achieved contractually between the designated lead entity and its partners

Attachment S

Officer Attestation

The information that is being submitted by (insert company name) as it seeks to be qualified as a Cost Effective or Efficient Regional Transmission Solutions (“CEERTS”) project developer, is factual and true to the best of my knowledge and complies with the provisions identified in the qualification data submittal. It is my understanding that any additional information required by the FRCC, as result of its review of the information submittal, will also be factual and true to the best of my knowledge. It is also my understanding, that (insert company name) will submit a biennial (or more often if the information provided has materially changed) update of the information submitted, accompanied by an attestation from an officer of (insert company name) that the previously submitted information remains correct and has not materially changed since the last attestation.

Date: _____

Developer (Corporate name): _____

Developer Mailing Address: _____

City/State/Zip code: _____

Name of Authorized Company Officer (Print): _____

Signature of Authorized Company Officer: _____

Notarize Below

Attachment T

Officer Attestation

The information previously submitted on (insert date of previous submission here) by (insert company name) to the FRCC and found to meet all the criteria, such that (insert company name) was qualified as a Cost Effective or Efficient Regional Transmission Solutions (“CEERTS”) project developer, remains to be factual and true, substantially in the form of its previous submittal, and remains to comply with the provisions identified in the qualification data submittal.

Date: _____

Developer (Corporate name): _____

Developer Mailing Address: _____

City/State/Zip code: _____

Name of Authorized Company Officer (Print): _____

Signature of Authorized Company Officer: _____

Notarize Below